

**DOCKET NO.: J&J 5203 USNP**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of: Arndt et al.**

**Confirmation No.: 5402**

**Application No.: 10/526,475**

**Group Art Unit: Not Yet Assigned**

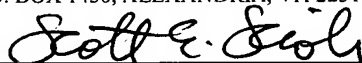
**Filing Date: September 4, 2003**

**Examiner No.: Not Yet Assigned**

**For: Methods Using DsDNA To Mediate RNA Interferences (RNAI)**

DATE OF DEPOSIT: May 2, 2006

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.



TYPED NAME: Scott E. Sciolli  
REGISTRATION NO.: 47,930

**Mail Stop Sequence**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL FOR REPLY TO NOTICE OF DEFECTIVE RESPONSE**

In response to the "Notice Of Defective Response" dated April 3, 2006, which sets forth a one-month period for reply ending on May 3, 2006, enclosed herewith for filing is:

**Sequence Listing**

- ☒ Paper copy of Sequence Listing
- ☒ CD-ROM containing Sequence Listing in computer readable form
- ☒ Amendment directing entry of Sequence Listing in specification
- ☒ Statement to Support Filing of Sequence Listing

**Additional Items**

- ☒ A copy of the Notice of Defective Response.
- ☐ Request for Corrected Filing Receipt.
- ☐ Request for Refund Due to Small Entity Status.
- ☐ Request for Refund Due to Fee Calculation Error.
- ☐ A substitute specification in compliance with 37 CFR § 1.52.
- ☐ Other: .

**Fee Calculation and Extension of Time**

- ☐ A check in amount of \$0 is attached to cover the surcharge and any requested extension of time. Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/J&J 5203USNP/MHM.
- ☐ Please charge the surcharge to my Deposit Account No. 10-0750/J&J 5203USNP/MHM in the amount of \$ .
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 10-0750/J&J 5203USNP/MHM.
- ☒ The Commissioner is hereby authorized to charge any deficiency or credit any overpayment of the fees associated with this communication to Deposit Account No. 10-0750/J&J 5203USNP/MHM.


This sheet is attached in duplicate.

**DOCKET NO.: J&J 5203 USNP**

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**PATENT**

Date: May 2, 2006

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/526,475	FIRST NAMED APPLICANT Gregory Martin Arndt	ATTY. DOCKET NO. 968094.00002
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 Washington, DC 20005

INTERNATIONAL APPLICATION NO. PCT/AU03/01142	
LA. FILING DATE 09/04/2003	PRIORITY DATE 09/04/2002

CONFIRMATION NO. 5402  
 371 FORMALITIES LETTER



\*OC000000018443087\*

Date Mailed: 04/03/2006

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 03/03/2005
- Copy of the International Search Report filed on 03/03/2005
- Copy of IPE Report filed on 03/03/2005
- Preliminary Amendments filed on 03/03/2005
- Information Disclosure Statements filed on 03/03/2005
- Biochemical Sequence Diskette filed on 10/05/2005
- Oath or Declaration filed on 10/05/2005
- Biochemical Sequence Listing filed on 10/05/2005
- Request for Immediate Examination filed on 03/03/2005
- U.S. Basic National Fees filed on 03/03/2005
- Priority Documents filed on 03/03/2005
- Power of Attorney filed on 10/05/2005

Applicant's response filed 10/05/2005 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 07/26/2005 have not been completed.

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under

**37 CFR 1.136(a).**

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/526,475	PCT/AU03/01142	968094.00002